



Oct 12, 2016

To,

Mr. S. Subramanian
DCS - CRD
Bombay Stock Exchange Limited
Dalal Street,
Mumbai 400 001

Subject: Quarterly Statement of Investor Complaint

Dear Sir,

Pursuant to regulation 13(3) of the SEBI (Listing Obligations and Disclosures Requirements) Regulation, 2015, we are enclosing herewith "Investor Complaint Report" issued by Registrar & Share transfer Agent, M/s Sharex Dynamic (India) Pvt Ltd for the quarter ended on 30-09-2016 for your kind perusal.

Kindly acknowledge and take on record the same.

For **Ganon Trading and Finance Company Limited**

Director



Ganon Trading and Finance Co. Limited

Registered Office : G-2, Ground Floor, Prabha CHS, R. B. Mehta Marg
Near Canara Bank, Ghatkopar(E), Mumbai - 400 077 • +91 022 25010506 / 508
Email : ganontrading@gmail.com • Website : www.ganontrading.com
CIN NO : L51900MH1985PLCC36703



CERTIFICATE



AN ISO 9001-2008 COMPANY

GANON TRADING & FINANCE CO LTD.

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS

REPORT FOR THE PERIOD : 01-07-2016 TO 30-09-2016

| SRL.NO. | NATURE OF REQUESTS/COMPLAINTS | OPENING BALANCE | STOCK EXCHANGE | SEBI | OTHERS | TOTAL RECEIVED | REDRESSED | PENDING |
|---------|---|-----------------|----------------|----------|----------|----------------|-----------|----------|
| 1 | DELAY IN TRANSFER OF SHARES. | - | - | - | - | - | - | - |
| 2 | NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES. | - | - | - | - | - | - | - |
| 3 | DELAY/ NON-RECEIPT IN ISSUE OF DUPLICATE SHARES. | - | - | - | - | - | - | - |
| 4 | DELAY/ NON RECEIPT OF ANNUAL REPORTS. | - | - | - | - | - | - | - |
| 5 | DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES. | - | - | - | - | - | - | - |
| 6 | DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES. | - | - | - | - | - | - | - |
| 7 | DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER. | - | - | - | - | - | - | - |
| 8 | DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC. | - | - | - | - | - | - | - |
| 9 | MANIPULATION IN THE ACCOUNTS STATEMENTS. | - | - | - | - | - | - | - |
| 10 | UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS. | - | - | - | - | - | - | - |
| 11 | DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS. | - | - | - | - | - | - | - |
| 12 | DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS. | - | - | - | - | - | - | - |
| | NIL COMPLAINT RECEIVED | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)